



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

CMC MARKETS ASIA PACIFIC PTY LTD

AFS Licence Number 238054

Extracted from ASIC's database at AEST 17:51:12 on 18/11/2020

Current Details	
Name:	CMC MARKETS ASIA PACIFIC PTY LTD
Licence Number:	238054
Status:	Current
ABN:	11 100 058 213
Commenced:	24/02/2004
Addresses	
Principal Business Address:	'Tower 3 International Towers' Level 20, 300 Barangaroo Avenue, SYDNEY NSW 2000
Service Address:	'Tower 3 International Towers' Level 20, 300 Barangaroo Avenue, SYDNEY NSW 2000
Roles	
Role:	Auditor of FS Licensee
Name:	PRICEWATERHOUSECOOPERS
Commenced:	31/03/2010
External Dispute Resolution	
Scheme:	Australian Financial Complaints Authority
Membership Number:	11752
Commenced:	01/05/2018
Licence Authorisation Conditions	
From:	19/09/2012
Details:	1. This licence authorises the licensee to carry on a financial services business to: (a) provide general financial product advice for the following classes of financial products: (i) derivatives; (ii) foreign exchange contracts; (iii) debentures, stocks or bonds issued or proposed to be issued by a government; and (iv) securities; (b) deal in a financial product by: (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: (A) derivatives; and (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products: (A) derivatives; and (c) make a market for the following financial products: (i) derivatives; to retail and wholesale clients.
From:	06/01/2009
Details:	1. This licence authorises the licensee to carry on a financial services business to:



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Licence Authorisation Conditions

- (a) provide general financial product advice for the following classes of financial products:
 - (i) derivatives;
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) derivatives; and
- (c) make a market for the following financial products:
 - (i) derivatives;to retail and wholesale clients.

From: 24/02/2004

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) derivatives;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) derivatives; and
 - (c) make a market for the following financial products:
 - (i) derivatives;to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.